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20350 7590 10/01/2007

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| | |
|-------------------|--------------------|
| Sally Zumba | (Depositor's name) |
| | (Signature) |
| December 20, 2007 | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/591,912 | 06/09/2000 | Douglas Corning | SCHWB-3200 | 3491 |

TITLE OF INVENTION: METHOD AND SYSTEM FOR BUILDING AND MAINTENANCE WATCH LISTS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|------------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1440 | \$0 | \$0 | \$1440 | 01/02/2008 |
| EXAMINER | | ART UNIT | CLASS-SUBCLASS | | | |
| KARMIS, STEFANOS | | 3691 | 705-036000 | | | |

Change of correspondence address or indication of "Fee Address" (37 FR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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1 TOWNSEND AND TOWNSEND
 2 AND CREW LLP
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(A) NAME OF ASSIGNEE

CHARLES SCHWAB & CO.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

SAN FRANCISCO, CA (US)

Check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Date

December 20, 2007

Typed or printed name

Preetam B. Pagar

Registration No.

57,684

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